

# Stable classification of 4-manifolds

2019-06-14

Regensburg

LKS-Seminar

Plan:

- ① The Whitney trick - and why it does not work  
in dimension 4
- ② Removing intersections by tubing into other things,  
 $\# \mathbb{S}^2 \times \mathbb{S}^2$
- ③ Spin thickenings & equivariant intersection forms

Everything in this talk will be smooth!

Sources:

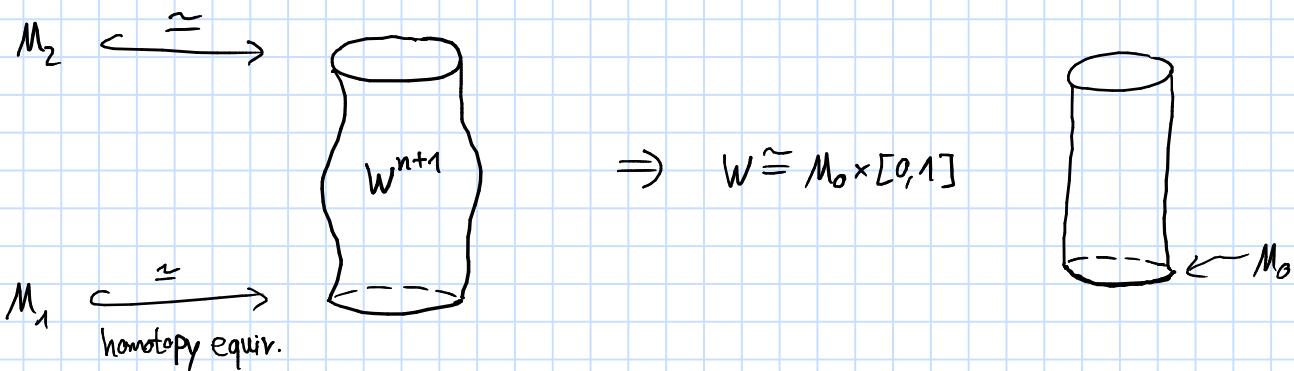
[Scorpan: The wild world of 4-manifolds]

[Kasprowski, Powell, Teichner: Algebraic criteria for stable  
diffeomorphism of 4-manifolds]

[Arunima Ray, Peter Teichner: The topology of 4-manifolds

Class taught at the university of Bonn in the winter of 2018]

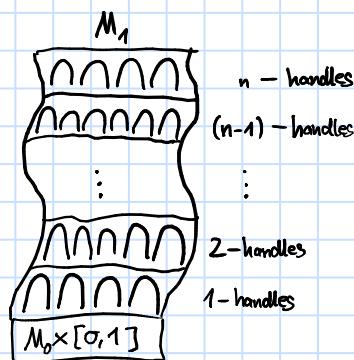
Recall: The h-cobordism theorem (in dim  $n \geq 5$ )



"A homotopy cylinder in  $\dim \geq 5$  is already a cylinder"

Ingredients for the proof:

Take a handle decomposition of  $W^{n+1}$  relative to  $M_0$



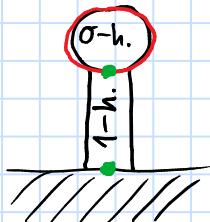
Deal with 0-, 1-, m-, (m+1)-handles separately  
handle trading, turning the decomposition upside down, ...

Want to use handle cancellation:

bett sphere in red  
attaching sphere in green

for cancellation to work, the attaching sphere has  
to intersect the bett sphere geometrically once

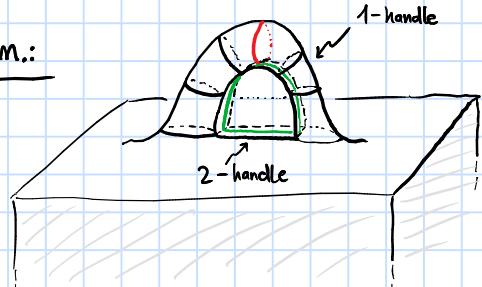
2-dim.:



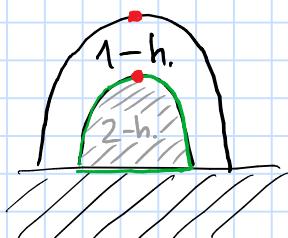
$\approx$



3-dim.:



??



$\approx$

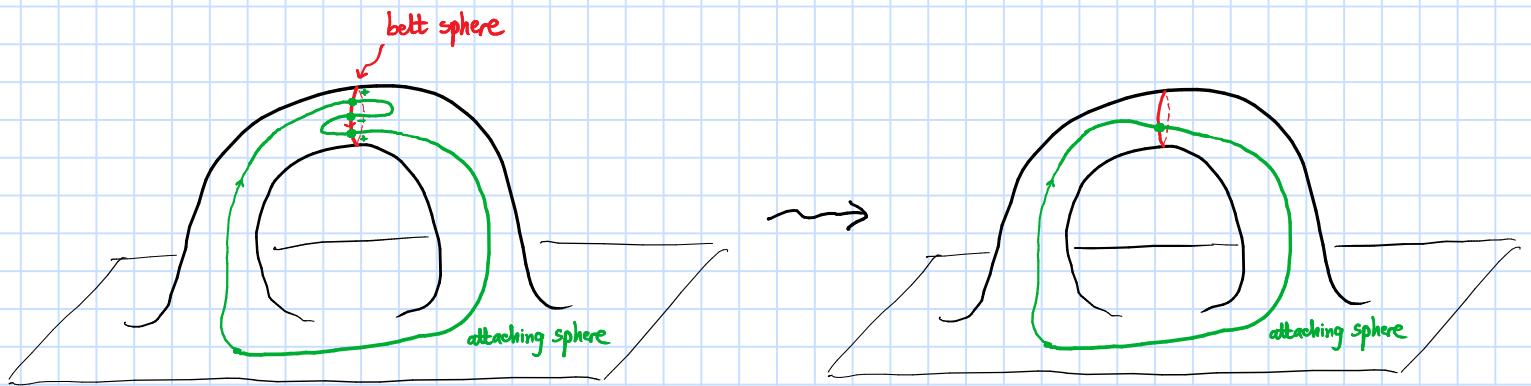


The conditions on the boundary inclusions  $M_i \hookrightarrow W$

yield that the  $k$ - and  $(k+1)$ -handles cancel

algebraically

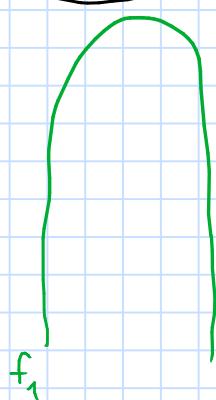
$$\} H_*(W, M_i) = 0$$



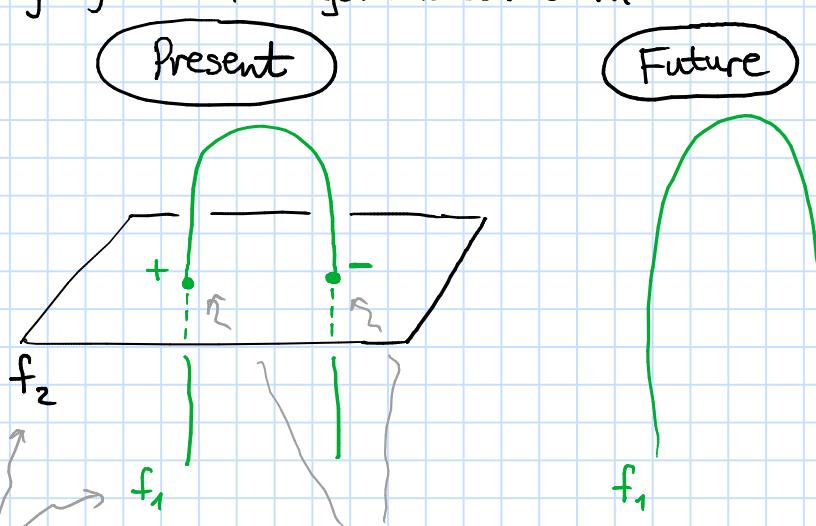
Need to turn algebraic cancellation into geometric cancellation

Whitney trick: turning algebraic into geometric cancellation

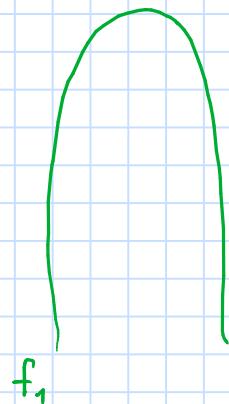
Past



Present



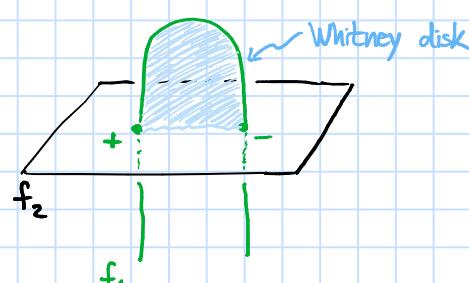
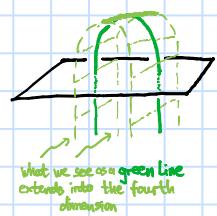
Future



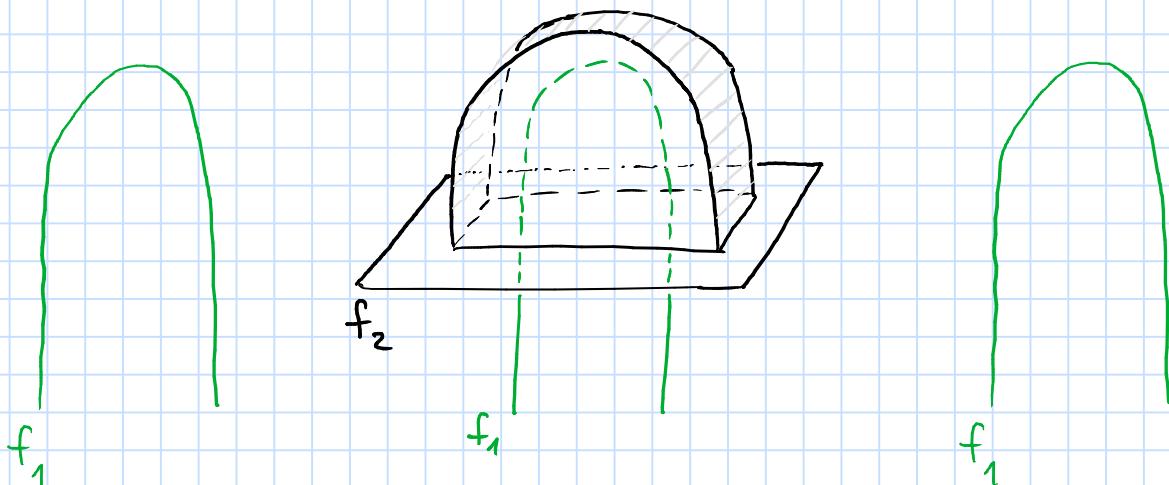
two surfaces in  
a 4-manifold

two intersection points  
with different signs

Alternative picture:



↓ WHITNEY MOVE



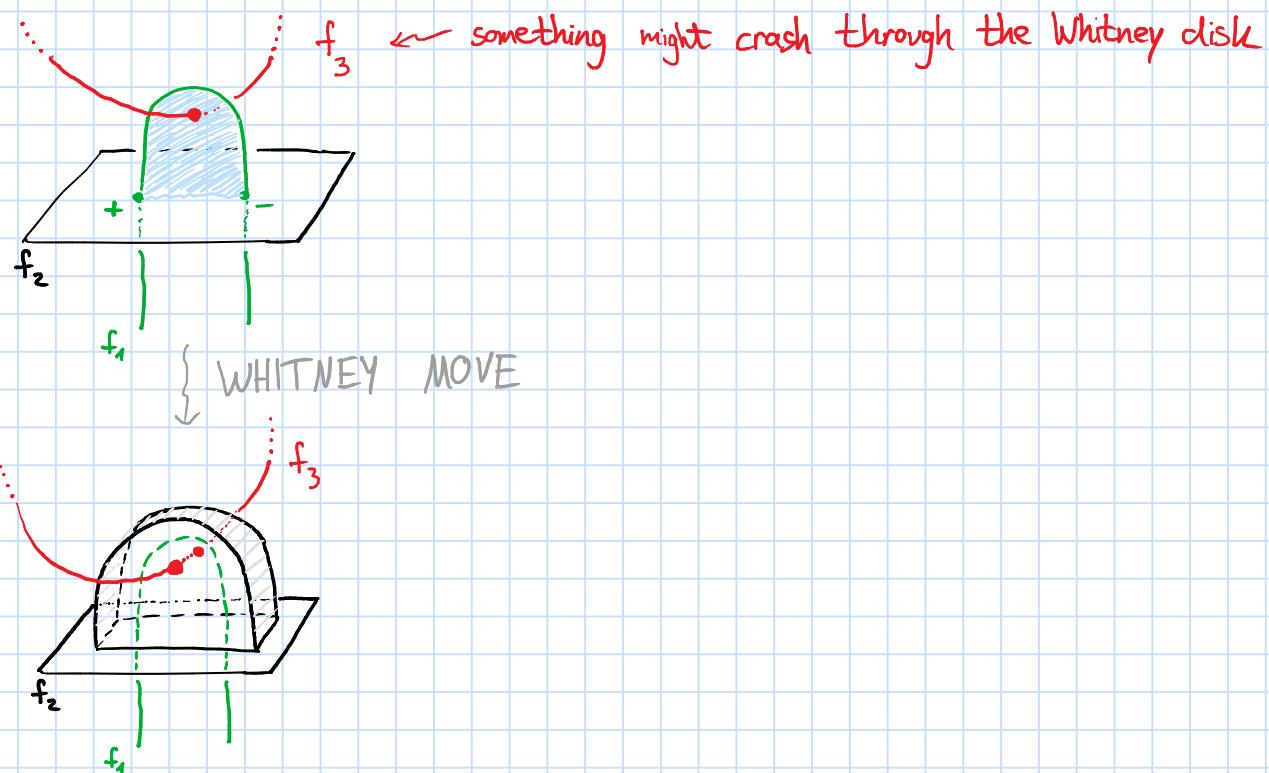
The two algebraically  
canceling intersection points  
between  $f_1$  and  $f_2$  are gone!

But ...

Problems with this in 4-dimensions:

•)  $2+2=4 \rightsquigarrow$  Possibly can't find embedded Whitney disks,

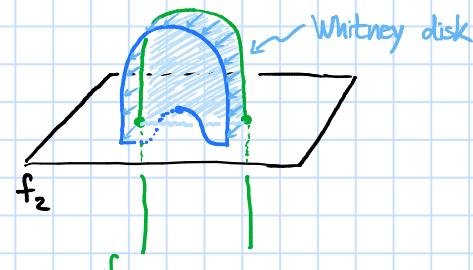
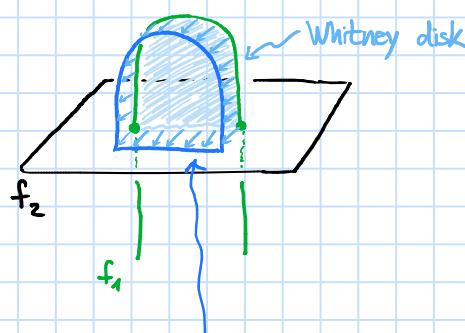
The disks might intersect other things, themselves or each other



$\rightsquigarrow$  Whitney trick removes the two cancelling intersections between  $f_1$  and  $f_2$ , but introduces two new intersections between  $f_2$  and  $f_3$

•) Even if we can find embedded disks, they might have the wrong framing  
(need this for the parallel copies of the Whitney disks)

$$\pi_1(SO(2)) \cong \mathbb{Z}$$

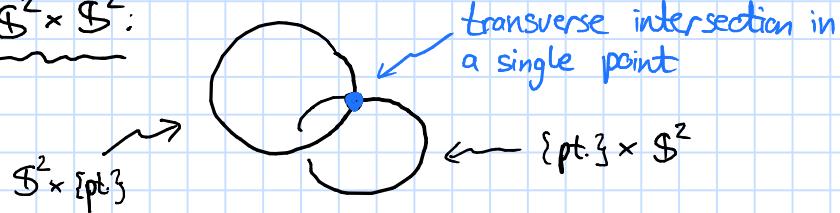


Whitney framing: Normal to  $f_1$ , tangent to  $f_2$

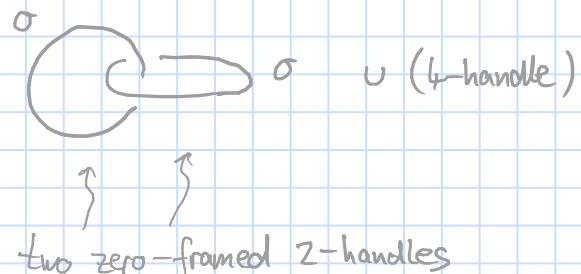
Slogan: 4-dimensional (smooth) topology is all about  
intersecting disks / spheres / surfaces !

Stable classification: Allow connected sum  $\# \mathbb{S}^2 \times \mathbb{S}^2$

Schematic picture of  $\mathbb{S}^2 \times \mathbb{S}^2$ :



Kirby diagram of  $\mathbb{S}^2 \times \mathbb{S}^2$ :



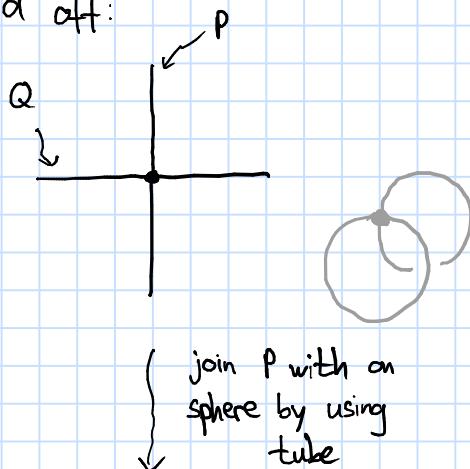
Intersection form:  $\lambda_{\mathbb{S}^2 \times \mathbb{S}^2} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  (we sometimes call this a hyperbolic form)

$$\lambda_{\mathbb{S}^2 \times \mathbb{S}^2}: H_2(\mathbb{S}^2 \times \mathbb{S}^2; \mathbb{Z}) \times H_2(\mathbb{S}^2 \times \mathbb{S}^2; \mathbb{Z}) \rightarrow \mathbb{Z}$$

Removing intersections by tubing into things:

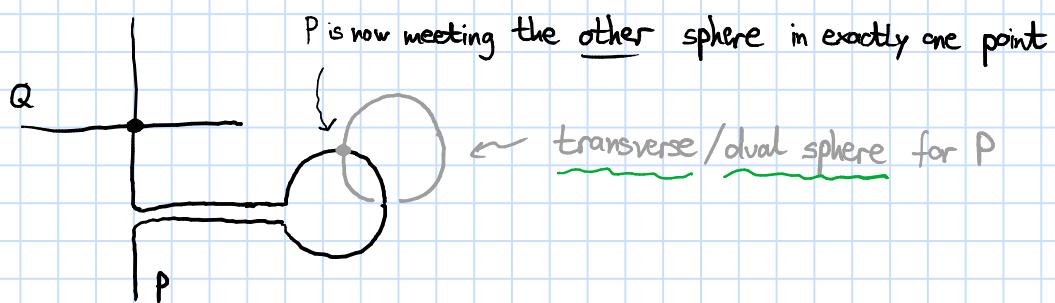
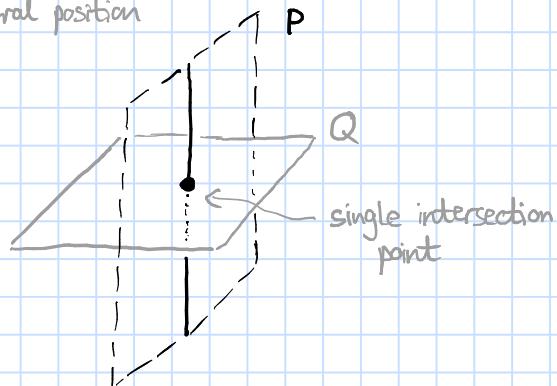
in our case, think of Whitney disks

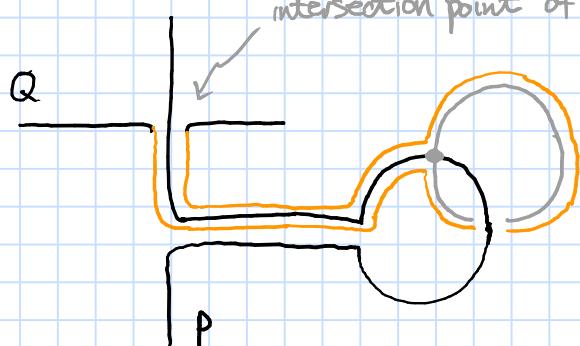
Imagine two surfaces P, Q with an intersection point that we want to get rid off:



Remember: A schematic like this shows two 2-dimensional objects intersecting in general position

Local model:



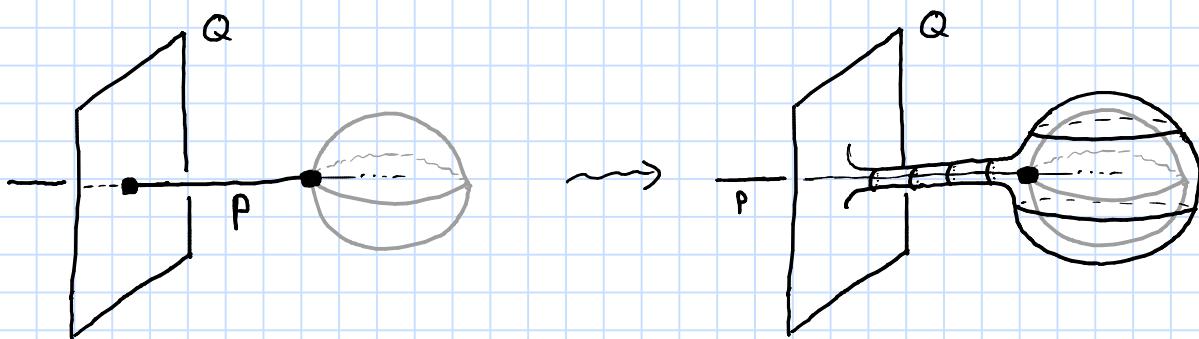


intersection point of P and Q has vanished

Pick a path in P from the intersection point with Q to the intersection point with the transverse sphere

→ using a thin tube following this path, connect Q to a parallel copy of the sphere

"4D-picture":



Analogy: Removing an intersection of curves on a surface by connected summing with  $\mathbb{S}^1 \times \mathbb{S}^1$ :



- Note:
- ) none of the maneuvers change the genus of either P or Q  
→ can use this to eliminate (self-) intersections of immersed Whitney disks
  - ) Can tube into the diagonal / anti-diagonal of an  $\mathbb{S}^2 \times \mathbb{S}^2$  to change the framing of a disk by  $\pm 2$

Wall [1960s]:  $M, N$  closed, smooth simply connected 4-manifolds

$M \cong N \Rightarrow M$  h-cobordant to  $N$

↪ homotopy equivalent

⇒  $M$  diffeomorphic to  $N$  after connected summing with sufficiently many copies of  $\mathbb{S}^2 \times \mathbb{S}^2$

stabilization

Proof:

OF ⇒: The program for the proof of the h-cob. theorem now works: (Assuming that we believe that the only problem are self-intersections of Whitney disks) Whenever we need to get rid of intersection points, add a copy of  $\mathbb{S}^2 \times \mathbb{S}^2$ . □

Rem.: With luck, can use the same  $\mathbb{S}^2 \times \mathbb{S}^2$ -term to eliminate several intersections.

We don't know any example where more than one stabilization is necessary!

A complete classification of 4-manifolds is impossible!

Fact: Every finitely presented group appears as the fundamental group of a closed, smooth, orientable, spin, ... (compact, no 2) 4-manifold.

Pf. idea:  $\pi = \langle g_1, \dots, g_n \mid r_1, \dots, r_m \rangle$

•) Start with

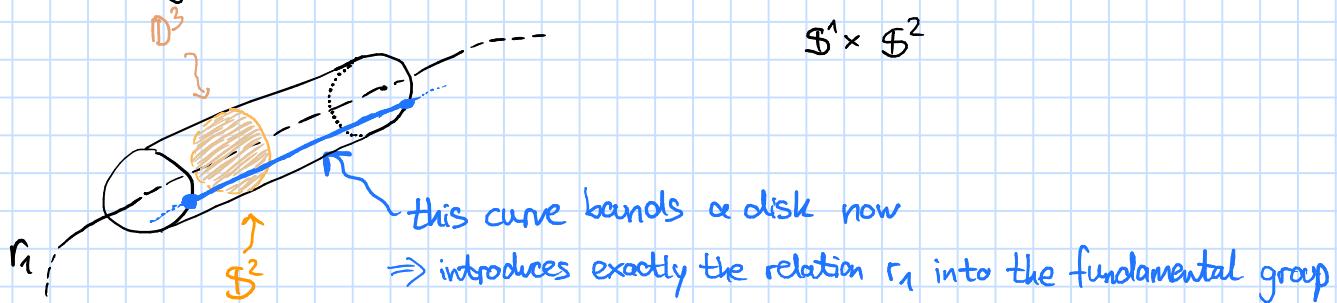
$$\#^n S^1 \times S^3 \quad \leftarrow \pi_1 \cong \text{free group on } n \text{ generators}$$

one summand for each generator

•) Realize the words of each relation by disjoint, embedded circles

•) Perform surgery on these curves:

Remove neighborhoods  $S^1 \times D^3$  of each circle  $\leadsto$  boundary around each component is



Glue in m copies of  $D^2 \times S^2$

Exercise: Why doesn't this construction work in  $\dim \leq 3$ ?

# Matthias Kreck: Stable classification in the non-simply connected setting

$$\begin{array}{c} \left\{ \begin{array}{l} \text{smooth, closed, oriented, spin 4-manifolds} \\ \text{with fundamental group } \pi_1 \text{ (finitely presented)} \end{array} \right\} \\ \xrightarrow{\quad \text{stable diffeomorphism} \quad} \xleftarrow[1:1]{} \Omega_4^{\text{Spin}}(B\pi_1) \end{array}$$

$$\text{Aut} \cong H^1(B\pi_1; \mathbb{Z}/2) \rtimes \text{Out}(\pi_1)$$

$\Omega_4^{\text{Spin}}(B\pi_1)$  is something we can sometimes calculate, for example using the Atiyah-Hirzebruch-Spectral Seq.

are the automorphisms of the so-called normal 1-type

$$E_{pq}^2 = H_p(B\pi_1; \Omega_q^{\text{Spin}}(\ast)) \Rightarrow \Omega_{p+q}^{\text{Spin}}(B\pi_1)$$

[Kasprowski, Powell, Teichner]: Identified algebraic obstructions coming from the filtration of AHSS

- primary:  $c_*([M]) \in H_4(\pi_1; \mathbb{Z})$

- secondary: related to intersection pairing on  $\pi_2(M)$

- tertiary: higher order intersection data from Whitney disks

To prescribe the fundamental group we

can give a (homotopy class of a) map

$$M \xrightarrow{c} B\pi_1$$

$$\longmapsto [M \xrightarrow{c} B\pi_1]$$

bordism class

In my master thesis, I looked at

$$[\sigma] \in \Omega_4^{\text{Spin}}(B\pi_1)$$

"a spin thickening of the group  $\pi_1$ "

& its equivariant intersection form

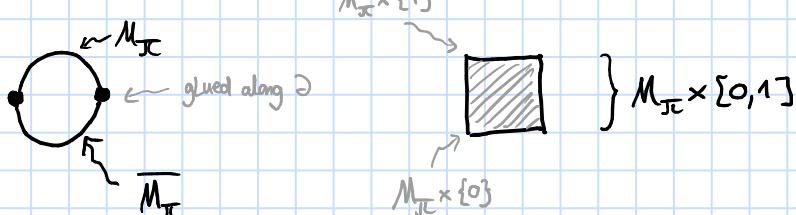
Two constructions for a representative of  $[\sigma]$ :

① Take a presentation 2-complex for  $\pi$ , embed into  $\mathbb{R}^5 \hookrightarrow K_\pi$   
2-dim. CW-complex  $K_\pi$

Look at the boundary of a tubular neighborhood of  $K_\pi$ :  $\partial(vK_\pi)$  closed 4-mfld.  
with correct  $\pi_1$

② Build a 4-dim. handlebody (handles of index  $\leq 2$ )  $M_\pi^{(4)}$  (even framings to get)  
opposite orientation spin mfd.

$$\text{Double } (M_\pi) = M_\pi \cup_{\partial M_\pi} \overline{M_\pi} \quad (\cong \partial(M_\pi \times [0, 1]))$$



It's not hard to

draw a Kirby diagram for this!

(doubling just adds 0-framed meridians  
to all the 2-handles)

Specifically, I looked at the equivariant intersection form  
of  $[O] = [\text{Double}(M_\pi)]$

Def.:  $M$  closed, connected 4-manifold

$$\lambda_M : \pi_2(M, *) \otimes \pi_2(M, *) \rightarrow \mathbb{Z}[\pi_1 M]$$

(immersed) 2-spheres in  $M$

Sign  $\in \{\pm 1\}$

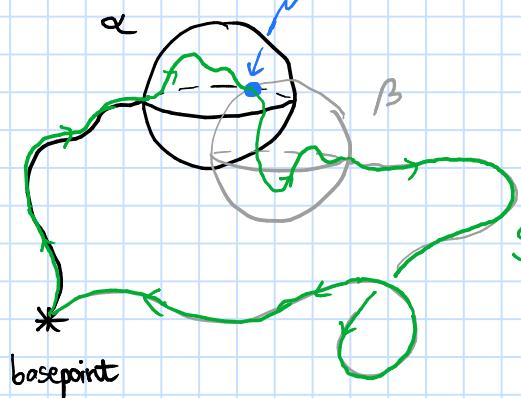
fundamental group element

$$\lambda_M([\alpha : S^2 \hookrightarrow M], [\beta : S^2 \hookrightarrow M]) := \sum_{p \in \alpha \cap \beta}$$

$\epsilon_p \cdot g_p$

$p \in \alpha \cap \beta$

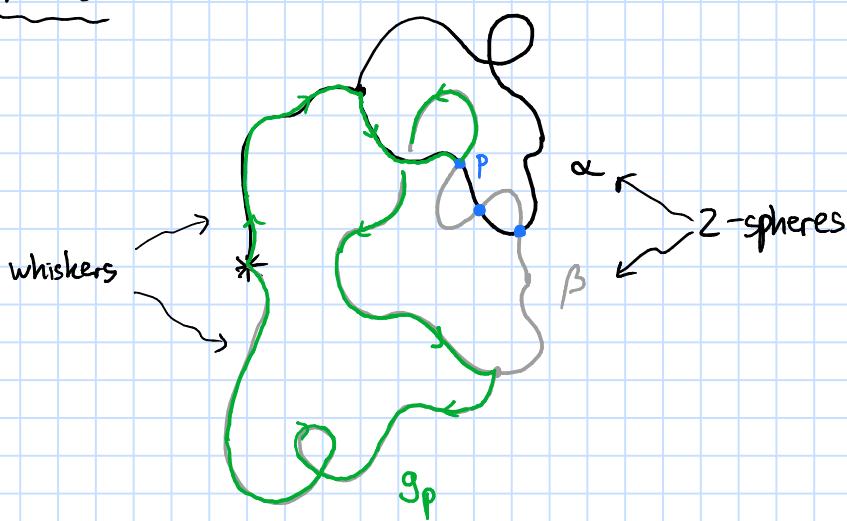
finite number of double points



$$g_p \in \pi_1 M$$

(the green path should avoid all other double points)

Schematic:



Properties:

- )  $\lambda_M$  sesquilinear
- )  $\lambda_M$  hermitian

Involution on the group ring:

$$- : \mathbb{Z}[\pi] \longrightarrow \mathbb{Z}[\pi]$$

$$g \mapsto \bar{g} = g^{-1}$$

Question: Parity?

"conjugate transpose"

Def.:  $\lambda_M$  is called even if  $\lambda_M^{\text{ad}} = q + q^*$

for some  $q \in \text{Hom}_{\mathbb{Z}\pi}(\pi_2(M), \pi_2(M)^*)$

Ex.:  $\lambda_{S^2 \times S^2} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} = \underbrace{\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}}_q + \underbrace{\begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}}_{q^*}$

Question:  $\pi$  finitely presented group

Is  $\lambda_{\text{Double}(M_\pi)}$  even?

Rem.: The answer does not depend on the presentation of  $\pi$  / choice of  $M_\pi$ , because all nullbordant elements in  $\Omega_4^{\text{Spin}}(B\pi)$  are stably diffeomorphic (by Kreck) and  $\lambda_{S^2 \times S^2}$  is even

Proposition: For  $\pi = \mathbb{Z}/m \times \mathbb{Z}/n$ , it is even!

Rough idea: •) It is actually enough to check the evenness on any closed, spin,... 4-mfld. with  $\pi_1 \cong \mathbb{Z}/m \times \mathbb{Z}/n$

•) Use an action  $\mathbb{Z}/m \times \mathbb{Z}/n$

•) Then perform surgery on the quotient to get rid of the contribution of the  $S^1$ -factor to  $\pi_1$

•) Compute  $\pi_2$  by looking at  $H_2$  of the universal cover, construct explicit representatives and count intersections □